## State of Nebraska - INVITATION TO BID CONTRACT

Solicitation Number 6750 OF	
Opening Date and Time 01/25/23 2:00 pm	
Buyer CHRISTIE KELLY (AS)	

DESTINATION OF GOODS DEPARTMENT OF TRANSPORTATION 5001 S 14TH ST PO BOX 94759 LINCOLN NE 68509-4759

Per Nebraska's Transparency in Government Procurement Act, DAS is required to collect statistical information regarding the number of contracts awarded to Nebraska contractors. This information is for statistical purposes only and will not be considered for contract award purposes.

I hereby certify that I am a Resident disabled veteran or business located in a designated enterprise zone in accordance with Neb. Rev. Stat. §73-107 and wish to have preference, if applicable, considered in the award of this contract.

Contract to supply and deliver Articulated All Wheel Drive (AWD) Loader with Minimum 3.8 Cubic Yard (CY) Bucket to the State of Nebraska as per the attached specifications for a one (1) year period from date of award. The contract may be renewed for four (4) additional one (1) year periods when mutually agreeable to the vendor and the State of Nebraska.

### (1/3/23 sc)

	INVITATION					
Line 1	Description ARTICULATED AWD LOADER W/ 3.8 CU YD BUCKET	Quantity 5.0000	Unit of Measure EA	Unit Price	Extended <b>5</b> 1,301,025	
2	QUICK HITCH WITH SPECIFIED BUCKET	5.0000	EA	\$ 8,345	541,725	
3	QUICK ATTACH FORKLIFT ASSEMBLY AS PER SPEC SHEET	5.0000	EA	\$ 8,945	\$44,725	
4	MULTI PURPOSE BUCKET	5.0000	EA	J24,269	\$121,345	

#### BIDDER MUST COMPLETE THE FOLLOWING

DISCOUNT PAYMENT TERMS: <u>0</u>% <u>30</u> DAYS By signing this Invitation to Bid form, the bidder guarantees compliance with the provisions stated in this Invitation to Bid, agrees to the terms and conditions unless otherwise agreed to and certifies that bidder maintains a drug free work place environment. Vendor will furnish the items requested within <u>120</u> days after receipt of order. Failure to enter Delivery Date may cause quotation to be REJECTED.

Sign Here

(Authorized Signature Mandatory - Form must be signed manually in ink or by DocuSign)

Enter Contact Information Below

VE	N	DC	R
VE	N	DC	R

Address:

Road Builders Machinery	
SUDI N Fletcher	
LMCOIN, NE	

Contact	Distin Ronke
Telephone	462-490-4313
Email	drake QADMSCO.com

## State of Nebraska - INVITATION TO BID CONTRACT

Date	1/4/23		Page	2 of 2	
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DESTINATION OF GOODS DEPARTMENT OF TRANSPORTATION 5001 S 14TH ST PO BOX 94759 LINCOLN NE 68509-4759

	INVITATION					
Line	Description	Quantity	Unit of Measure	Unit Price	Extended Price	
5	GRAPPLE FORK ATTACHMENT AS PER SPEC SHEET	5.0000	EA	\$ 11,900	\$ 59,000	
6	WHEEL LOADER SCALE SYSTEM AS PER SPEC SHEET	5.0000	EA	\$ 8,112	\$40,500	
7	DEDUCT EXTENTED WARRANTY AS PER SPEC SHEET	5.0000	EA	(\$3,498)	( <u>\$17,490</u> )	
8	DELUXE AIR RIDE SEAT OPTION	5.0000	EA	standard	standard	

#### II. TERMS AND CONDITIONS

Bidders should complete Section II through VII as part of their bid. Bidder is expected to read the Terms and Conditions and must initial either accept, reject, or reject and provide alternative language for each clause. The Bidder should also provide an explanation of why the Bidder rejected the clause or rejected the clause and provided alternate language using "Track Changes". Upon request an electronic copy of the bid with "Track Changes" must be submitted in an editable Word format. By signing the solicitation, Bidder is agreeing to be legally bound by all the accepted Terms and Conditions, and any proposed alternative Terms and Conditions submitted with the bid. The State reserves the right to negotiate rejected or proposed alternative language. If the State and Bidder fail to agree on the final Terms and Conditions, the State reserves the right to reject the bid. The State is soliciting bids in response to the solicitation. The State reserves the right to reject bids that attempt to substitute the Bidder's commercial contracts and/or documents for this solicitation.

The Bidder should submit with their bid any license, user agreement, service level agreement, or similar documents that the Bidder wants incorporated in the Contract. Upon notice of Intent to Award, the Bidder must submit a copy of these documents in an editable Word format. The State will not consider incorporation of any document not submitted with the Bidder's bid. These documents shall be subject to negotiation and will be incorporated as addendums if agreed to by the Parties.

If a conflict or ambiguity arises after the addendums have been negotiated and agreed to, the addendums shall be interpreted as follows:

- If only one (1) Party's document has a particular clause, then that clause shall control;
- 2. If both Party's documents have a similar clause, but the clauses do not conflict, the clauses shall be read together; and,
- 3. If both Party's documents have a similar clause, but the clauses conflict, the State's clause shall control.

#### A. GENERAL

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

The contract resulting from this solicitation shall incorporate the following documents:

- 1. ITB and Addenda;
- Amendments to the solicitation;
- Questions and Answers;
- Bidder's bid response;
- 5. The executed Contract and any Addenda, if applicable, and properly submitted documents; and,
- 6. Amendments to the Contract

Unless otherwise specifically stated in a future contract amendment, in case of any conflict between the incorporated documents, the documents shall govern in the following order of preference with number one (1) receiving preference over all other documents and with each lower numbered document having preference over any higher numbered document: 1) Amendment to the executed Contract with the most recent dated amendment having the highest priority, 2) executed Contract and any attached Addenda, 3) Amendments to bid and any Questions and Answers, 4) the original bid document and any Addenda, and 5) the Bidder's submitted Bid. Any ambiguity or conflict in the contract discovered after its execution, not otherwise addressed herein, shall be resolved in accordance with the rules of contract interpretation as established in the State.

#### B. NOTIFICATION

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:	
DR				

Communication regarding the executed contract shall be given in writing and deemed to have been delivered via email to the specified Contract Contact information provided on the submitted ITB form with the submitted bid(s). The Vendor should send written notice to the SPB. The State will send notices to the authorized contact provided on the ITB form. Either party may change its address for notification purposes by giving notice of the change and setting forth the new address and an effective date.

#### C. PCO REPRESENTATIVE

The State reserves the right to appoint a PCO's Representative to manage [or assist the PCO in managing] the contract on behalf of the State. The PCO's Representative will be appointed in writing, and the appointment document will specify the extent of the PCO's Representative authority and responsibilities. If a PCO's Representative is appointed, the Bidder will be provided a copy of the appointment document and is expected to cooperate accordingly with the PCO's Representative. The PCO's Representative has no authority to bind the State to a contract, amendment, addendum, or other change or addition to the contract.

#### D. GOVERNING LAW (Statutory)

Notwithstanding any other provision of this contract, or any amendment or addendum(s) entered into contemporaneously or at a later time, the parties understand and agree that, (1) the State of Nebraska is a sovereign state and its authority to contract is therefore subject to limitation by the State's Constitution, statutes, common law, and regulation; (2) this contract will be interpreted and enforced under the laws of the State of Nebraska; (3) any action to enforce the provisions of this contract must be brought in the State of Nebraska per state law; (4) the person signing this contract on behalf of the State of Nebraska does not have the authority to waive the State's sovereign immunity, statutes, common law, or regulations; (5) the indemnity, limitation of liability, remedy, and other similar provisions of the final contract, if any, are entered into subject to the State's Constitution, statutes, common law, regulations, and sovereign immunity; and, (6) all terms and conditions of the final contract, including but not limited to the clauses concerning third-party use, licenses, warranties, limitations of liability, governing law and venue, usage verification, indemnity, liability, remedy or other similar provisions of the final contract are entered into specifically subject to the State's Constitution, statutes, common law, regulations, and sovereign immunity. The Parties must comply with all applicable local, state, and federal laws, ordinances, rules, orders, and regulations.

#### E. AMENDMENT

This Contract may be amended in writing, within scope, upon the agreement of both parties.

#### F. SUBSTITUTIONS

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DK			

In the event any product is discontinued or replaced upon mutual consent during the contract period or prior to delivery, the State reserves the right to amend the contract or purchase order to include the alternate product at the same price.

## If the Vendor requests to substitute any contracted item and the substitute meets or exceeds the original specifications, the State may accept or reject the substitution.

If the Vendor requests to substitute any contracted item, which meets or exceeds the original specifications, and the cost of the substitute is higher, the State may accept or reject the substitution and price change.

If the State and the Vendor do not agree to the requested substitution and price change, the Contract may be terminated immediately by the State. In the event any product is discontinued or replaced upon mutual consent and prior to delivery during the Contract Period the State reserves the right to amend the contract or purchase order to include the alternate product.

#### \*\*\*Bidder will not substitute any item that has been awarded without prior written approval of SPB\*\*\*

#### G. VENDOR PERFORMANCE REPORT(S)

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

The State may document any instance(s) of products or services delivered or performed which exceed or fail to meet the terms of the purchase order, contract, and/or solicitation specifications. The SPB may contact the Vendor regarding any such report. Vendor performance report(s) will become a part of the permanent record of the Vendor.

#### H. NOTICE OF POTENTIAL BIDDER BREACH

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DE			

If Bidder breaches the contract or anticipates breaching the contract, the Bidder shall immediately give written notice to the State. The notice shall explain the breach or potential breach, a proposed cure, and may include a request for a waiver of the breach if so desired. The State may, in its discretion, temporarily or permanently waive the breach. By granting a waiver, the State does not forfeit any rights or remedies to which the State is entitled by law or equity, or pursuant to the provisions of the contract. Failure to give immediate notice, however, may be grounds for denial of any request for a waiver of a breach.

#### I. BREACH

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

Either Party may terminate the contract, in whole or in part, if the other Party breaches its duty to perform its obligations under the contract in a timely and proper manner. Termination requires written notice of default and a thirty (30) calendar day (or longer at the non-breaching Party's discretion considering the gravity and nature of the default) cure period. Said notice shall be delivered by Certified Mail, Return Receipt Requested, or in person with proof of delivery. Allowing time to cure a failure or breach of contract does not waive the right to immediately terminate the contract for the same or different contract breach which may occur at a different time.

In case of breach by the Bidder, the State may, without unreasonable delay, make a good faith effort to make a reasonable purchase or contract to purchased goods in substitution of those due from the Bidder. The State may recover from the Bidder as damages the difference between the costs of covering the breach. Notwithstanding any clause to the contrary, the State may also recover the contract price together with any incidental or consequential damages defined in UCC Section 2-715, but less expenses saved in consequence of Bidder's breach. OR In case of default of the Bidder, the State may contract the service from other sources and hold the Bidder responsible for any excess cost occasioned thereby.

The State's failure to make payment shall not be a breach, and the Bidder shall retain all available statutory remedies. (See Indemnity - Self-Insurance and Payment)

#### J. NON-WAIVER OF BREACH

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

The acceptance of late performance with or without objection or reservation by a Party shall not waive any rights of the Party nor constitute a waiver of the requirement of timely performance of any obligations remaining to be performed.

#### K. SEVERABILITY

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

If any term or condition of the contract is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining Terms and Conditions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the contract did not contain the provision held to be invalid or illegal.

#### L. INDEMNIFICATION

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
X			

#### 1. GENERAL

The Bidder agrees to defend, indemnify, and hold harmless the State and its employees, volunteers, agents, and its elected and appointed officials ("the indemnified parties") from and against any and all claims, liens, demands, damages, liability, actions, causes of action, losses, judgments, costs, and expenses of every nature, including investigation costs and expenses, settlement costs, and attorney fees and expenses ("the claims"), sustained or asserted against the State for personal injury, death, or property loss or damage, arising out of, resulting from, or attributable to the willful misconduct, negligence, error, or omission of the Bidder, its employees, Subcontractors, consultants, representatives, and agents, resulting from this contract, except to the extent such Bidder liability is attenuated by any action of the State which directly and proximately contributed to the claims.

#### 2. SELF-INSURANCE (Statutory)

The State is self-insured for any loss and purchases excess insurance coverage pursuant to Neb. Rev. Stat. § 81-8,239.01 (Reissue 2008). If there is a presumed loss under tha provisions of this contract, Bidder may file a claim with the Office of Risk Management pursuant to Neb. Rev. Stat. §§ 81-8,829 through 81-8,306 for review by the State Claims Board. The State retains all rights and immunities under the State Miscellaneous (Section 81-8,294), Tort (Section 81-8,209), and Contract Claim Acts (Section 81-8,302), as outlined in Neb. Rev. Stat. § 81-8,209 et seq. and under any other provisions of law and accepts liability under this contract to the extent provided by law.

#### M. ATTORNEY'S FEES

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

In the event of any litigation, appeal, or other legal action to enforce any provision of the contract, the Parties agree to pay all expenses of such action, as permitted by law and if ordered by the court, including attorney's fees and costs, if the other party prevails.

#### N. ASSIGNMENT, SALE, OR MERGER

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

Either party may assign the contract upon mutual written agreement of the other party. Such agreement shall not be unreasonably withheld.

The Bidder retains the right to enter into a sale, merger, acquisition, internal reorganization, or similar transaction involving Bidder's business. Bidder agrees to cooperate with the State in executing amendments to the contract to allow for the transaction. If a third party or entity is involved in the transaction, the Bidder will remain responsible for performance of the contract until such time as the person or entity involved in the transaction agrees in writing to be contractually bound by this contract and perform all obligations of the contract.

#### 0. CONTRACTING WITH OTHER POLITICAL SUB-DIVISIONS OF THE STATE OR ANOTHER STATE

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

The Bidder may, but shall not be required to, allow agencies, as defined in Neb. Rev. Stat. § 81-145, to use this contract. The Terms and Conditions, including price, of the contract may not be amended. The State shall not be contractually obligated or liable for any contract entered into pursuant to this clause.

The Bidder may, but shall not be required to, allow other states, agencies or divisions of other states, or political subdivisions of other states to use this contract. The Terms and Conditions, including price, of this contract shall apply to any such contract, but may be amended upon mutual consent of the Parties. The State of Nebraska shall not be contractually or otherwise obligated or liable under any contract entered into pursuant to this clause. The State shall be notified if a contract is executed based upon this contract.

#### P. FORCE MAJEURE

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

Neither party shall be liable for any costs or damages, or for default resulting from its inability to perform any of its obligations under the contract due to a natural or man-made event outside the control and not the fault of the affected party ("Force Majeure Event"). The Party so affected shall immediately make a written request for relief to the other party and shall have the burden of proof to justify the request. The other Party may grant the relief requested; relief may not be unreasonably withheld. Labor disputes with the impacted party's own employees will not be considered a Force Majeure Event.

#### Q. CONFIDENTIALITY

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

All materials and information provided by the Parties or acquired by a Party on behalf of the other Party shall be regarded as confidential information. All materials and information provided or acquired shall be handled in accordance with federal and state law, and ethical standards. Should said confidentiality be breached by a Party, the Party shall notify the other Party immediately of said breach and take immediate corrective action.

It is incumbent upon the Parties to inform their officers and employees of the penalties for improper disclosure imposed by the Privacy Act of 1974, 5 U.S.C. 552a. Specifically, 5 U.S.C. 552a (i)(1), which is made applicable by 5 U.S.C. 552a (m)(1), provides that any officer or employee, who by virtue of his/her employment or official position has possession of or access to Agency records which contain individually identifiable information, the disclosure of which is prohibited by the Privacy Act or regulations established thereunder, and who knowing that disclosure of the specific material is prohibited, willfully discloses the material in any manner to any person or Agency not entitled to receive it, shall be guilty of a misdemeanor and fined not more than \$5,000.00.

#### R. EARLY TERMINATION

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

The contract may be terminated as follows:

1. The State and the Bidder, by mutual written agreement, may terminate the contract at any time.

- 2. The State, at its sole discretion, may terminate the contract for any reason upon thirty (30) calendar day's written notice to the Bidder. Such termination shall not relieve the Bidder of warranty or other service obligations incurred under the terms of the contract. In the event of termination, the Bidder shall be entitled to payment, determined on a pro-rate basis, for products or services satisfactorily performed or provided.
- 3. The State may terminate the contract immediately for the following reasons:
  - a. if directed to do so by statute;
  - b. Bidder has made an assignment for the benefit of creditors, has admitted in writing its inability to pay debts as they mature, or has coased operating in the normal course of business;
  - c. a trustee or receiver of the Bidder or of any substantial part of the Bidder's assets has been appointed by a court;
  - fraud, misappropriation, embezzlement, malfeasance, misfeasance, or illegal conduct pertaining to performance under the contract by its Bidder, its employees, officers, directors, or shareholders;
  - e. an involuntary proceeding has been commenced by any party against the Bidder under any one of the chapters of Title 11 of the United States Code and (i) the proceeding has been pending for at least sixty (60) calendar days; or (ii) the Bidder has consented, either expressly or by operation of law, to the entry of an order for relief; or (iii) the Bidder has been decreed or adjudged a debtor;
  - a voluntary petition has been filed by the Bidder under any of the chapters of Title 11 of the United States Code;
  - g. Bidder intentionally discloses confidential information;
  - h. Bidder has or announces it will discontinue support of the deliverable; and,
  - i. In the event funding is no longer available.

#### III. BIDDER DUTIES

#### A. INDEPENDENT BIDDER / OBLIGATIONS

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

It is agreed that the Bidder is an independent Bidder and that nothing contained herein is intended or should be construed as creating or establishing a relationship of employment, Agency, or a partnership.

The Bidder is solely responsible for fulfilling the contract. The Bidder or the Bidder's representative shall be the sole point of contact regarding all contractual matters.

The Bidder shall secure, at its own expense, all personnel required to perform the services under the contract. The personnel the Bidder uses to fulfill the contract shall have no contractual or other legal relationship with the State; they shall not be considered employees of the State and shall not be entitled to any compensation, rights, or benefits from the State, including but not limited to, tenure rights, medical and hospital care, sick and vacation leave, severance pay, or retirement benefits.

By-name personnel commitments made in the Bidder's bid shall not be changed without the prior written approval of the State. Replacement of these personnel, if approved by the State, shall be with personnel of equal or greater ability and qualifications.

The Bidder warrants that all persons assigned to the project shall be employees of the Bidder or a Subcontractor and shall be fully qualified to perform the work required herein. Personnel employed by the Bidder or a subcontractor to fulfill the terms of the contract shall remain under the sole direction and control of the Bidder or the subcontractor respectively.

With respect to its employees, the Bidder agrees to be solely responsible for the following:

- 1. Any and all pay, benefits, and employment taxes and/or other payroll withholding;
- 2. Any and all vehicles used by the Bidder's employees, including all insurance required by state law;
- Damages incurred by Bidder's employees within the scope of their duties under the contract;
- Maintaining Workers' Compensation and health insurance that complies with state and federal law and submitting any reports on such insurance to the extent required by governing law;
- 5. Determining the hours to be worked and the duties to be performed by the Bidder's employees; and,
- All claims on behalf of any person arising out of employment or alleged employment (including without limit claims of discrimination alleged against the Bidder, its officers, agents, or subcontractors or subcontractor's employees).

If the Bidder intends to utilize any subcontractor, the Subcontractor's level of effort, tasks, and time allocation must be clearly defined in the Bidder's bid. The Bidder shall agree that it will not utilize any Subcontractors not specifically included in its bid in the performance of the contract without the prior written authorization of the State.

The State reserves the right to require the Bidder to reassign or remove from the project any Bidder or Subcontractor employee.

Bidder shall insure that the Terms and Conditions contained in any contract with a sub-contractor does not conflict with the Terms and Conditions of this contract.

The Bidder shall include a similar provision, for the protection of the State, in the contract with any Subcontractor engaged to perform work on this contract.

#### B. EMPLOYEE WORK ELIGIBILITY STATUS

The Bidder is required and hereby agrees to use a federal immigration verification system to determine the work eligibility status of employees physically performing work within the State. A federal immigration verification system means the electronic verification of the work authorization program authorized by the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, 8 U.S.C. 1324a, known as the E-Verify Program, or an equivalent federal program designated by the United States Department of Homeland Security or other federal Agency authorized to verify the work eligibility status of an employee.

If the Bidder is an individual or sole proprietorship, the following applies:

1. The Bidder must complete the United States Citizenship Attestation Form, available on the DAS website at http://das.nebraska.gov/materiel/purchasing.html

The completed United States Attestation Form should be submitted with the solicitation response.

- If the Bidder indicates on such attestation form that he or she is a qualified alien, the Bidder agrees to provide the U.S. Citizenship and Immigration Services documentation required to verify the Bidder's lawful presence in the United States using the Systematic Alien Verification for Entitlements (SAVE) Program.
- The Bidder understands and agrees that lawful presence in the United States is required, and the Bidder may be disqualified, or the contract terminated if such lawful presence cannot be verified as required by Neb. Rev. Stat. § 4-108.

#### C. COMPLIANCE WITH CIVIL RIGHTS LAWS AND EQUAL OPPORTUNITY EMPLOYMENT / NONDISCRIMINATION (Statutory)

The Bidder shall comply with all applicable local, state, and federal statutes and regulations regarding civil rights laws and equal opportunity employment. The Nebraska Fair Employment Practice Act prohibits Bidders of the State, and their Subcontractors, from discriminating against any employee or applicant for employment, with respect to hire, tenure, terms, conditions, compensation, or privileges of employment because of race, color, religion, sex, disability, marital status, or national origin (Neb. Rev. Stat. §§ 48-1101 through 48-1125). The Bidder guarantees compliance with the Nebraska Fair Employment Practice Act, and breach of this provision shall be regarded as a material breach of contract. The Bidder shall insert a similar provision in all Subcontracts for goods or services to be covered by any contract resulting from this solicitation.

#### D. COOPERATION WITH OTHER BIDDERS

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:	
DR				

Bidder may be required to work with or in close proximity to other Bidders or individuals that may be working on the same or different projects. The Bidder shall agree to cooperate with such other Bidders or individuals and shall not commit or permit any act which may interfere with the performance of work by any other Bidder or individual. Bidder is not required to compromise Bidder's intellectual property or proprietary information unless expressly required to do so by this contract.

#### E. COST CLARIFICATION

The State reserves the right to review all aspects of cost for reasonableness and to request clarification of any bid where the cost component shows significant and unsupported deviation from industry standards or in areas where detailed pricing is required.

#### F. PERMITS, REGULATIONS, LAWS

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:	- President - In case	
DK					

The contract price shall include the cost of all royalties, licenses, permits, and approvals, whether arising from patents, trademarks, copyrights or otherwise, that are in any way involved in the contract. The Bidder shall obtain and pay for all royalties, licenses, and permits, and approvals necessary for the performance of the contract. The Bidder must guarantee that it has the full legal right to the materials, supplies, equipment, software, and other items used to execute this contract.

#### G. NOTICE OF POTENTIAL BIDDER BREACH

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

If Bidder breaches the contract or anticipates breaching the contract the Bidder shall immediately give written notice to the State. The notice shall explain the breach or potential breach and may include a request for a waiver of the breach if so desired. The State may, at its discretion, temporarily or permanently waive the breach. By granting a temporary waiver, the State does not forfeit any rights or remedies to which the State is entitled by law or equily, or pursuant to the provisions of the contract. Failure to give immediate notice, however, may be grounds for denial of any request for a waiver of a breach.

#### H. ANTITRUST

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DX			

The Bidder hereby assigns to the State any and all claims for overcharges as to goods and/or services provided in connection with this contract resulting from antitrust violations which arise under antitrust laws of the United States and the antitrust laws of the State.

#### I. CONFLICT OF INTEREST

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

By submitting a bid, bidder certifies that no relationship exists between the bidder and any person or entity which either is, or gives the appearance of, a conflict of interest related to this ITB or project.

Bidder further certifies that bidder will not employ any individual known by bidder to have a conflict of interest nor shall bidder take any action or acquire any interest, either directly or indirectly, which will conflict in any manner or degree with the performance of its contractual obligations hereunder or which creates an actual or appearance of conflict of interest.

If there is an actual or perceived conflict of interest, bidder shall provide with its bid a full disclosure of the facts describing such actual or perceived conflict of interest and a proposed mitigation plan for consideration. The State will then consider such disclosure and proposed mitigation plan and either approve or reject as part of the overall bid evaluation.

#### J. ADVERTISING

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
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The Bidder agrees not to refer to the contract award in advertising in such a manner as to state or imply that the company or its goods and services are endorsed or preferred by the State. Any publicity releases pertaining to the project shall not be issued without prior written approval from the State.

#### K. NEBRASKA TECHNOLOGY ACCESS STANDARDS (Statutory)

Bidder shall review the Nebraska Technology Access Standards, found at <a href="http://nitc.nebraska.gov/standards/2-201.html">http://nitc.nebraska.gov/standards/2-201.html</a> and ensure that products and/or goods provided under the contract are in compliance or will comply with the applicable standards to the greatest degree possible. In the event such standards change during the Bidder's performance, the State may create an amendment to the contract to request the contract comply with the changed standard at a cost mutually acceptable to the parties.

#### L. DISASTER RECOVERY/BACK UP PLAN

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

The Bidder shall have a disaster recovery and back-up plan, of which a copy should be provided upon request to the State, which includes, but is not limited to equipment, personnel, facilities, and transportation, in order to continue delivery of goods and services as specified under the specifications in the contract in the event of a disaster.

#### M. DRUG POLICY

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

Bidder certifies it maintains a drug free workplace environment to ensure worker safety and workplace integrity. Bidder agrees to provide a copy of its drug free workplace policy at any time upon request by the State.

#### N. WARRANTY

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

Despite any clause to the contrary, the Bidder represents and warrants that its services hereunder shall be performed by competent personnel and shall be of professional quality consistent with generally accepted industry standards for the performance of such services and shall comply in all respects with the requirements of this Contract. For any breach of this warranty, the Bidder shall, for a period of ninety (90) days from performance of the service, perform the services again, at no cost to Customer, or if Bidder is unable to perform the services as warranted, Bidder shall reimburse Customer the fees paid to Bidder for the unsatisfactory services. The rights and remedies of the parties under this warranty are in addition to any other rights and remedies of the parties of the parties and, as applicable and awarded under the law, to a prevailing party, reasonable attorneys' fees, and costs.

#### IV. PAYMENT

#### A, PROHIBITION AGAINST ADVANCE PAYMENT (Statutory)

Neb. Rev. Stat. § 81-2403 states, "[n]o goods or services shall be deemed to be received by an Agency until all such goods or services are completely delivered and finally accepted by the Agency."

#### B. TAXES (Statutory)

The State is not required to pay taxes and assumes no such liability as a result of this solicitation. The Bidder may request a copy of the Nebraska Department of Revenue, Nebraska Resale or Exempt Sale Certificate for Sales Tax Exemption, Form 13 for their records. Any property tax payable on the Bidder's equipment which may be installed in a state-owned facility is the responsibility of the Bidder.

#### C. INVOICES

Invoices for payments must be submitted by the Bidder to the Agency requesting the services with sufficient detail to support payment. The Terms and Conditions included in the Bidder's invoice shall be deemed to be solely for the convenience of the parties. No terms or conditions of any such invoice shall be binding upon the State, and no action by the State, including without limitation the payment of any such invoice in whole or in part, shall be construed as binding or estopping the State with respect to any such term or condition, unless the invoice term or condition has been previously agreed to by the State as an amendment to the contract.

#### D. INSPECTION AND APPROVAL

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:
DR			

Final inspection and approval of all work required under the contract shall be performed by the designated State officials. The State and/or its authorized representatives shall have the right to enter any premises where the Bidder or Subcontractor duties under the contract are being performed, and to inspect, monitor or otherwise evaluate the work being performed. All inspections and evaluations shall be at reasonable times and in a manner that will not unreasonably delay work.

#### E. PAYMENT (Statutory)

Payment will be made by the responsible Agency in compliance with the State of Nebraska Prompt Payment Act (See Neb. Rev. Stat, § 81-2403). The State may require the Bidder to accept payment by electronic means such as ACH deposit. In no event shall the State be responsible or liable to pay for any goods and services provided by the Bidder prior to the Effective Date of the contract, and the Bidder hereby waives any claim or cause of action for any such services.

#### F. LATE PAYMENT (Statutory)

The Bidder may charge the responsible Agency interest for late payment in compliance with the State of Nebraska Prompt Payment Act (See Neb. Rev. Stat. §§ 81-2401 through 81-2408).

#### G. SUBJECT TO FUNDING / FUNDING OUT CLAUSE FOR LOSS OF APPROPRIATIONS (Statutory)

The State's obligation to pay amounts due on the Contract for a fiscal year following the current fiscal year is contingent upon legislative appropriation of funds. Should said funds not be appropriated, the State may terminate the contract with respect to those payments for the fiscal year(s) for which such funds are not appropriated. The State will give the Bidder written notice thirty (30) calendar days prior to the effective date of termination. All obligations of the State to make payments after the termination date will cease. The Bidder shall be entitled to receive just and equitable compensation for any authorized work which has been satisfactorily completed as of the termination date. In no event shall the Bidder be paid for a loss of anticipated profit.

#### H. RIGHT TO AUDIT (First Paragraph is Statutory)

Accept (Initial)	Reject (Initial)	Reject & Provide Alternative Response (Initial)	NOTES/COMMENTS:	
DR				

The State shall have the right to eudit the Bidder's performance of this contract upon a thirty (30) day written notice. Bidder shall utilize generally accepted accounting principles, and shall maintain the accounting records, and other records and information relevant to the contract (Information) to enable the State to audit the contract. (Neb. Rev. Stat. § 84-304 et seq.) The State may audit, and the Bidder shall maintain the information during the term of the contract and for a period of five (5) years after the

completion of this contract or until all issues or litigation are resolved, whichever is later. The Bidder shall make the Information available to the State at Bidder's place of business or a location acceptable to both Parties during normal business hours. If this is not practical or the Bidder so elects, the Bidder may provide electronic or paper copies of the Information. The State reserves the right to examine, make copies of, and take notes on any Information relevant to this contract, regardless of the form or the Information, how it is stored, or who possesses the Information. In no circumstances will Bidder be required to create or maintain documents not kept in the ordinary course of Bidder's business operations, nor will Bidder be required to disclose any information, including but not limited to product cost data, which is confidential or proprietary to Bidder.

The Parties shall pay their own costs of the audit unless the audit finds a previously undisclosed overpayment by the State. If a previously undisclosed overpayment exceeds two and one-half of one percent (2.5%) of the total contract billings, or if fraud, material misrepresentations, or non-performance is discovered on the part of the Bidder, the Bidder shall reimburse the State for the total costs of the audit. Overpayments and audit costs owed to the State shall be paid within ninety (90) days of written notice of the claim. The Bidder agrees to correct any material weaknesses or condition found as a result of the eudit.

#### V. SCOPE OF WORK

#### A. SCOPE

It is the intent of this bid to establish a contract to supply Articulated AWD Loader with Minimum 3.8 CY Bucket per the attached specifications from date of award for a period of One (1) year with the option to renew for an additional (four) One (1) year periods when mutually agreeable to the Bidder and the State. The State reserves the right to extend the period of this contract beyond the end date when mutually agreeable to the Bidder and the State.

All items proposed shall be of the latest manufacture in production as of the date of the solicitation and be of proven performance and under standard design complete as regularly advertised and marketed. All necessary materials for satisfactory performance of the supplies shall be incorporated into the **Articulated AWD Loader with Minimum 3.8 CY Bucket** whether or not they may be specifically mentioned below.

Complete specifications, manufacturer's current descriptive literature and/or advertising data sheets with cuts or photographs must be included with the bid for the IDENTICAL items proposed. Any information necessary to show compliance with these specifications not given on the manufacturer's descriptive literature and/or advertising data sheets must be supplied in writing on or attached to the bid document. If manufacturer's information necessary to show compliance with these specifications is not attached to the bid document, the Bidder may be required to submit requested information within three (3) business days of a written request. Failure to submit requested descriptive literature or advertising data sheets may be grounds to reject the bid.

#### **VI. TECHNICAL SPECIFICATIONS**

#### A. BIDDER INSTRUCTIONS

Bidder must respond to each of the following statements. Specifications listed are minimum conditions that must be met in order for a Bidder to gualify for the award.

"YES" response means the Bidder guarantees they can meet this condition.

"NO" response means the Bidder cannot meet this condition and will not be considered.

"NO & PROVIDE ALTERNATIVE" responses should be used only with a narrative response in the "NOTES/COMMENTS" section explaining in detail any deviation from the Bidder's ability to meet the condition, and an explanation of how this would be determined to be an acceptable alternative to meeting the condition. Alternatives must be detailed in such a way that allows such deviations to be fully evaluated. The State shall determine at its sole discretion whether or not the Bidder's alternative is an acceptable alternative.

### \*\*\*ALL ITEMS IN THE FOLLOWING SPECIFICATIONS ARE REQUIREMENTS\*\*\*

#### B. NON-COMPLIANCE STATEMENT

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Read these specifications carefully.
X			2.	Any and all exceptions to these specifications must be written on or attached to solicitation response.
X			3.	Any noncompliance may void your bid. Non-compliance to any single specification can void your bid.
X			4.	It is the responsibility of Bidders to obtain information and clarifications as provided below.
X			5.	The State is not responsible for any erroneous or incomplete understandings or wrongful interpretations of this solicitation by any Bidder.

NOTES/COMMENTS:

#### C. ENGINE

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Diesel engine shall be a minimum of 6.6 Liters.
		191 HP	2.	Engine shall have a minimum two hundred-twenty (220) net horsepower developed at SAE J1349 or ISO 9249 under standard conditions with all accessories.
X			3.	Cold starting aid of either glow plugs or equivalent pre-heater or ether injection of Turner LP535-1 or equivalent with cartridge.
X			4.	Manufacturer's recommended muffler, alternator, and starter.
X			5.	Full flow, throw away type oil filter(s).
X			6.	Turbo II or Centri brand pre-cleaner or equivalent OEM supplied rotary ejection style pre-cleaner. Dual element air filtration is preferred.
X			7.	Heavy-duty radiator guard and crankcase guard.
X			8.	Engine housing side panels or tilt hood.
X			9.	Manufacturer's recommended antifreeze protection to a minimum of thirty-four (34) degrees below zero Fahrenheit.
×			10.	A one hundred-twenty (120) volt AC block heater with a sturdily mounted male receptacle and cover that is conveniently located under cab chassis to be furnished in all water-cooled units.

X	<ol> <li>Counter-balanced rain cap for exhaust preferred although Exhaust elbow is acceptable.</li> </ol>
X	12. Fuel Tank. Specify fuel tank size in the "NOTES/COMMENTS" section below.
	13. Specify the Following:
	a. Engine Brand: Komatsu
	b. Engine Model: SAAGD 107 E-3
	c. Flywheel Horsepower: 192 HP
	d. Governed RPM: 2100

NOTES/COMMENTS: 12) Full Tank: 79.3 gal 2) Does not meet HP requirement of 220 but that does not reflect in The averall performance of the Douber. Tip load, dump charance, breakout force, operating weight are all above spec. The knort hp does not cause performance is with hav the machine is setup. Runs more efficient and saves fue. There are a ton of these Konatso wA380's on the job with absolutely no performance pro-

D.

YES	NO	NO & PROVIDE ALTERNATIVE	-	
X			1.	Torque converter/power shift with minimum four (4) speed forward and three (3) speed reverse transmission. Hydrostatic transmission is also acceptable.
X	-		2.	Inboard or outboard four (4) wheel planetary final reduction.
X			3.	Limited slip in front and/or rear differential required. Either front or rear differential lock-up are acceptable. Specify item bidding in the "NOTES/COMMENTS" section below.
X			4.	Minimum forward travel speed will be approximately twenty (20) MPH.

# NOTES/COMMENTS: 3) Limited slip front + rear

#### E. TIRES AND WHEELS

YES	NO	NO & PROVIDE ALTERNATIVE					
X			1.	Four (4) 23.5R	25, Michelin XTLA.		
NOTES/C	OMMENT	rs:		Ĉ		1	

#### **F**. BRAKES

YES	NO	NO & PROVIDE ALTERNATIVE		
X		12.00	1.	Brakes on all four (4) wheels.
Х			2.	Brakes shall be boosted, full hydraulic type or air brakes. Specify type of brakes bid in the "NOTES/COMMENTS" section below.
X			3.	Air dryer or equivalent moisture remover required with air brakes.
X	1		4.	Transmission drive or internal wet disk service brakes acceptable.

X		5. Parking brake required.	
NOTES/COM	ments: 2) Full h	ydraulic	

## G. STEERING

/ES	NO	NO & PROVIDE ALTERNATIVE	1111	
×			1.	Articulated frame at wheelbase center point.
×			2.	Turn to right and left of at least forty (40) degrees.
			3.	To be hydraulically assisted.

## H. LOADER

YES	NO	NO & PROVIDE ALTERNATIVE		
×			1.	Static full turn tipping load must be a minimum of 24,500 pounds.
4			2.	Joystick control.
X			3.	General purpose loader bucket to be minimum 3.8 CY struck SAE rated.
×			4.	Bucket shall be minimum one hundred ten inches (110") wide but not less than the overall width of the machine.
X			5.	Dump clearance at full lift with bucket at forty-five (45) degrees discharge shal be at least nine feet (9'), three inches (3").
X	1		6.	Minimum 33,500-pound breakout force.
X			7.	Digging depth of at least 2.9 inches below grade with bucket bottom approaching position parallel to grade.
X			8.	Bucket level indicator or automatic pre-set to dig position and adjustable automatic lift kick out required. Bucket shall have a bolt-on cutting edge.
×			9.	Z-bar linkage or parallel Lift Linkage will be acceptable.
X			10.	Loader shall be equipped with a suspension system for the loader boom cylinders. Ride Control/Suspension System to include any accumulators, valves, hydraulic lines and/or electrical circuits as required by the manufacturer.

(1) breakat force 15 35,494

## I. CAB

YES	NO	NO & PROVIDE ALTERNATIVE			
X		15	1.	The R	DPS cab shall be of steel construction:
X				a.	Cab shall be fully and tightly enclosed with sound suppression.
X	1.		1.1	b.	Tinted safety glass windshield, windows and door(s) required.
X		1	2.	Cab to	be equipped with mounting ladder:

$\times$	a. Electric windshield wipers with washers both front and rear;
X	<ul> <li>Inside rear-view mirror(s) and floor mat required;</li> </ul>
X	c. Standard AM/FM radio Installed;
X	d. Defroster fan and heater of adequate capacity for cab required;
X	e. Unit shall have manufacturer's factory installed air conditioning;
X	f. Unit to have rearview carnera installed; and
X	g. Loader must be able to be operated with door(s) secured open.
X	<ol> <li>Padded adjustable, cloth covered suspension seat with seat belt shall be provided.</li> </ol>

NOTES/COMMENTS:

## J. INSTRUMENTS

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	The following gauges or digital displays are required:
X				a. Air pressure gauge if air brakes;
X			1	b. Torque converter or transmission oil temperature gauge;
X				c. Fuel gauge or indicator;
X				d. Oil pressure gauge;
X				e. Engine temperature gauge; and
X				<ul> <li>f. Voltmeter, ammeter, or warning light for the twelve (12) or twenty-fou (24) volt system.</li> </ul>
X			2.	Audible and visible alarm system for high engine temperature, low oil pressure and high transmission oil temperature.
X			3.	An electronic, back-up alarm meeting OSHA requirements to be provided.

NOTES/COMMENTS:

## K. HYDRAULIC SYSTEM

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Constantly driven, gear, piston, or vane type pump.
X			2.	Replaceable system filters and pressure relief valve.
X			3.	System operation pressure shall be a maximum of three thousand eight hundred (3,800) PSI.

X	4.	Third Valve with all controls, lines, fittings, and couplers to front of loader boom for attachments.
NOTES/COMMENTS:		

L. COUNTERWEIGHTS

YES	NO	NO & PROVIDE ALTERNATIVE		- MARKET AND A CONTRACT OF
V			1.	Standard equipment rear counterweights.

## M. GENERAL

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	The overall length of the loader will not be greater than twenty-seven feet (27'), five inches (5"), with loader bucket level on the ground.
X			2.	The operation weight of loader with minimum 3.8 CY bucket, ROPS cab and without optional accessories or calcium chloride in tires shall be a minimum of forty thousand (40,000) pounds. Specify loader weight in pounds in the "NOTES/COMMENTS" section below.
×			3.	Four (4) LED headlights two (2) at top of cab near corners and two (2) at bottom of cab near each side).
X			4.	One (1) rear working LED light.
X			5.	Combination LED stop/taillight and horn.
×	T		6.	Drawbar hitch with pin.
×	1.1		7.	Turn signal and hazard flashing LED lights for front and rear.
×			8.	Manufacturer's front and rear fenders required.
イ			9.	A slow-moving vehicle emblem shall be mounted on the rear of loader and displayed as required per Neb. Rev. Stat. § 60-6241.
×				<ul> <li>Emblem shall be mounted so as not to restrict airflow for cooling purposes.</li> </ul>

## N. NOISE LEVEL

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Bidder should supply with bid the following decibel level per SAE Standards. Specify sound exposure work cycle rating dba in the "NOTES/COMMENTS" section below.
/ IOTES/C	OMMENT	s: ) (19 DRA		

## O. RADIO FREQUENCY SHIELDING - CAUTION!!!

YES	NO	NO & PROVIDE ALTERNATIVE		visual editoriantiant editor
χ			1.	The loader and/or installed components and equipment shall be compatible with use of NDOT mobile and/or two-way communication devices. Main communication radio operates in low band range of forty-seven (47) MHz to forty-eight (48) MHz, but all frequencies apply including low band, high band, UHF, and VHF.
X			2.	The loader and/or installed components and equipment shall be manufactured to meet all current SAE and/or ISO Standards applicable and/or relevant to electromagnetic Compatibility.
X	1.1		3.	NDOT will conduct testing of radio/two-way when installed in chassis.
X		1	4.	NDOT will notify bidder if normal operational parameters are not met due to degradation of signals caused by electromagnetic emissions from chassis or installed components and equipment.
X			5.	Bidder shall be required to work with NDOT personnel to reduce interference level to a point acceptable to NDOT normal radio operating parameters.
X		1	6.	Bidder shall have thirty (30) days to resolve radio frequency issue.
X	1		7.	Bidder and/or manufacturer will be responsible for any and all cost to replace and/or modify any parts found to cause radio frequency interference.
×			8.	If NDOT and awarded bidder cannot resolve source of radio frequency issue the unit will be sent to an independent accredited lab for testing to ensure SAE and/or ISO Standards compliance.
X			9.	Testing shall be done to SAE and/or ISO Standards which are current at date of bid.
×			10.	Should the loader and/or installed components and equipment fail testing at the accredited lab, the awarded bidder shall be responsible for all cost incurred for testing.
4		( )	11.	If after testing by NDOT and/or accredited lab, the awarded bidder is unable or unwilling to incur the cost and correct the radio frequency issue to the satisfaction of NDOT, all orders and corresponding contract will be canceled.

NOTES/COMMENTS:

### P. MANUALS

YES	NO	NO & PROVIDE ALTERNATIVE	
X			1. All manuals, whether for core or optional items, shall accompany each unit upon delivery.
×		1	<ol> <li>The following Manuals or CD ROMs should accompany each unit upon delivery:</li> </ol>
X			a. Operator's Manual or CD ROM
V	1		b. Equipment Shop Repair Manual or CD ROM
X			c. Engine Shop Repair Manual or CD ROM
×	-		d. Equipment Parts Manual or CD ROM
X			e. Engine Parts Manual or CD ROM
×			<ol> <li>If the above-mentioned Manuals are available online the State must be able to access them free of charge for fifteen (15) years.</li> </ol>

X	4.	All manuals should be furnished upon delivery. Per Neb. Rev. Stat. 81-2403 Goods or service; payment in full; when required (3) "no goods or services shall be deemed to be received by an agency until all such goods or services are completely delivered and finally accepted by the agency". Thus, payment will not be made until the unit and all required documents are received.
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NOTES/COMMENTS:

## Q. MISCELLANEOUS

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	The bidders should submit with their bid a list of any special tools they will furnish with each unit.
X		1	2.	Dealer's decals, stickers or other signs shall not be affixed to units.
X	1		3.	Dealer's pre-delivery service required.
X	- 3		4.	Manufacturer's standard yellow color.
×			5.	Prior to the awarding of, or entering into any agreement or contract, it shall be the responsibility of the manufacturer and/or the successful bidder to warrant to the State of Nebraska, in writing, that it has factory authorized dealers in the State of Nebraska who will provide warranty/service and repair work without undue delay.

## NOTES/COMMENTS:

### R. TRAINING

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Minimum of four (4) hours per loader at various locations throughout Nebraska by a factory trained representative in the operation and maintenance of loader Fleet Management will contact awarded bidder on schedule and places for this training.

NOTES/COMMENTS:

## S. SPECIFICATION FORM(S)

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Specification form(s) will be supplied by NDOT to the awarded bidder after a purchase order has been issued. The awarded bidder will be required to fully complete the specification form(s) possible for each unit and must accompany each unit when delivered.

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X	2. If bidder does not properly complete required form(s) for each unit, per Neb. Rev. Stat. 81-2403 Goods or service; payment in full; when required (3) "no goods or services shall be deemed to be received by an agency until all such goods or services are completely delivered and finally accepted by the agency". Thus, payment will not be made until the unit and all required form(s) are received.
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NOTES/COMMENTS:

## T. ANNUAL USAGE, ESTIMATED

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Annual usage figures provided are estimates and are not to be construed as either a minimum or maximum purchase quantity.
X			2.	The orders shall be for the actual quantities of each item ordered by or for any agency during the life of the contract.
X			3.	Bidder shall not impose minimum order requirements.
X			4.	The estimated annual usage of the Articulated AWD Loader with Minimum 3.8 CY Bucket is two (2) each.

NOTES/COMMENTS:

## U. USAGE REPORT

NO	NO & PROVIDE ALTERNATIVE		
		1.	The bidder shall, upon request at any time by the State as determined by the State, provide a usage report of this contract by state agencies and political subdivisions. Information will include, but is not limited to, agency/political subdivision name, item name, item number and dollar amount.
	NO	NO	NO

## V. DELIVERY ARO

YES	NO	NO & PROVIDE ALTERNATIVE		
Х	1		1.	Delivery desired within one hundred twenty (120) to two hundred (200) days ARO.
X	_		2.	If ARO will be different than one hundred twenty (120) to two hundred (200 days ARO specify alternative in the "NOTES/COMMENTS" section below.
X			3.	Not meeting the desired ARO stated in line one (1) of this section can be an award consideration.

## W. DELIVERY LOCATION

NO	NO & PROVIDE			
		1.	NDOT 5001 S 14 <sup>th</sup> Street Lincoln, NE 68512	
	NO			NO ALTERNATIVE 1. NDOT 5001 S 14 <sup>th</sup> Street

#### X. ORDERS

YES	NO	NO & PROVIDE		
X			1.	Orders will be placed by e-mail. To the awarded bidder.
X			2.	All orders must reference a purchase order number.
X			3.	The purchase order number must be referenced on the packing slip, and invoice
X			4.	Invoices are to be sent to the "Invoice to" address on the purchase order.

NOTES/COMMENTS:

## Y. QUALITY

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Product quality must meet specifications and be consistent for the term of the contract. All materials must be of first quality, under standard production by the manufacturer and be of standard design, complete as regularly advertised and marketed and be of proven performance.
X			2.	A guarantee of satisfactory performance by the Bidder and meeting delivery dates are an integral part of the purchase contract resulting from this ITB.
X			3.	Products are to be fully guaranteed and may be returned for full credit or replacement (at the State's option) for any reason during the initial warranty period with no additional charges for shipping or restocking.

## NOTES/COMMENTS:

## Z. WARRANTY

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Manufacturer's usual warranty shall apply and shall be in effect for at least one (1) year from the date the equipment was placed in service by purchasing entity.
X			2.	Bidder should supply warranty information with bid.
X			3.	Bidder shall be responsible for all repairs to include parts, labor, and machine transportation cost during the twelve (12) month usual warranty period.
X			4.	The power train and engine shall be warranted for additional five (5) years.

$\times$	5. These guarantees are in addition to the standard twelve (12) month warranty and do not have any effect upon the standard warranty.
X	a. If the engine is not produced by the loader manufacturer, the bidder will guarantee that the engine will be repaired by a certified and registered engine warranty dealer or distributorship.
X	6. The following power train components are warranted to be free from defects in workmanship and material during the extended warranty period:
X	a. Engine (excluding radiator, alternator, starter, compressor, and other attachments).
$\times$	b. Torque converter (if applicable), transmission.
X	c. Drive shafts and Universal joints.
$\mathbf{X}$	d. Differential.
X	e. Transfer gear group.
X	f. Drive axles.
X	g. Drive pumps.
X	h. Drive motors.
X	i. Pinion and bevel gear.
X	j. Final drive gear train.
$\times$	k. Computerized and electronic equipment.
X	<ol> <li>This warranty is limited to repair or replacement (including both parts and labor of inspected parts determined to have been defective in material or workmanship.</li> </ol>
*	<ol> <li>All extended power train warranty repairs will be performed by manufacturer's authorized service personnel.</li> </ol>
X	9. All machine transportation costs to and from the nearest authorized repair facility, travel time, and mileage during the extended warranty period will be the responsibility of the awarded bidder and/or manufacturer.
X	<ol> <li>This warranty does not apply to normal maintenance service (such as engine tune-up) or normal replacement of service or wear items.</li> </ol>
X	<ol> <li>Any oil sampling or other necessary testing needed to implement this warranty must be included in writing with this bid.</li> </ol>
X	12. NDOT will do oil sampling, but all materials must be furnished by the awarded bidder.
XI	13. A written report will be returned to NDOT if sampting is required.
X	14. This extended coverage is subject to the following conditions and limitations:
×	<ul> <li>Replacement parts provided are warranted for the remainder of the extended warranty period applicable to the product in which installed</li> </ul>
X	<ul> <li>b. The machine may not be altered or modified in any manner which affects the mechanical operation as designed with written permission from NDOT.</li> </ul>
X	15. The following limitations shall be applicable to all guarantees:
X	<ul> <li>Parts ordering and machine repair requests will occur during the awarded bidder's normal working hours.</li> </ul>
X	b. The parts and machine repair guarantees will not apply to machines which have been substantially damaged by collision, accident, flood, fire, vandalism, or similar occurrence.
$\checkmark$	<ul> <li>16. If machine cannot be repaired within thirty (30) days, a comparable loaner machine will be furnished to NDOT at no cost during the warranty period.</li> </ul>

#### AA. AUTHORIZED DEALER & WARRANTY

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	To the extent required by the manufacturer, the Bidder shall be an authorized dealer. The awarded bidder may be required to substantiate that they are an authorized dealer.
X			2.	Proof of being an authorized dealer, if required, must be submitted to SPB within three (3) business days of the request and prior to the award of any contract.
X			3.	The terms of the original manufacturer's standard warranty shall apply to all equipment acquired from this bid for the entire warranty period.
X			4.	Bidder shall provide a list of approved OEM service, repair & parts locations in the State of Nebraska or within a four (4)-hour response time. Provide this information in the "NOTES/COMMENTS" section below or attach list
X			5.	Bidder shall provide a list of approximate number and locations of OEM Trainer and certified service technicians in the state of Nebraska or within a four (4)- hour response time. Locations can be listed in the "NOTES/COMMENTS" section below or a document listing the locations can be submitted as a separate attachment.

Roos Builders Locations:

Omaha - 4115 5 901 St, Omaha NE - 402-331-9200

Lincoln - 5001 Fletcher Ave, Lincoln NE - 402-325-0447

Norfolk - 1303 5 Chestrut, Norfolk, NE - 402-316-4640

Grand Island - 4949 Jurgen RD, Grand Island, NE - 4117- 384-2620

### VII. OPTIONS

## A. QUICK HITCH WITH SPECIFIED BUCKET

YES	NO	NO & PROVIDE ALTERNATIVE		
X	-		1.	Quick hitch (coupler) system with bucket equivalent to bucket specified in Section VI, Subsection H-LOADER.
×			2.	The manufacturer's loader and the factory bucket will be replaced by the quick hitch and bucket.
X			3.	Acceptable brands are JRB, ACS or loader manufacturer's model. Please specify which brand you are bidding in the "NOTES/COMMENTS" section below.
X			4.	The securing pins controls in the cab will hydraulically operate from loader's existing hydraulics.
X			5.	Quick hitch and specified bucket must be recommended by the manufacturer for the loader bid.

NOTES/COMMENTS:

### B. QUICK ATTACH FORKLIFT ASSEMBLY

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Forklift assembly to be quick attach style and match the quick hitch with specified bucket.
X			2.	Quick hitch shall be JRB, ACS or loader manufacturer OEM supplied hitch.
V	·		3.	Carriage width to be minimum fifty-three inches (53").
X			4.	Tine length to be minimum sixty inches (60").
X	11 m. 1		5.	Manually adjustable tines of two and one-half inch (2.5") X six inch (6").
X			6.	Minimum Load Capacity of 10,000 pounds at twenty-four-inch (24") load center.

NOTES/COMMENTS:

#### C. MULTI-PURPOSE BUCKET

YES	NO	NO & PROVIDE ALTERNATIVE		
X	1.000		1.	The factory furnished bucket will be replaced by a multi-purpose bucket.
X			2.	The multi-purpose bucket shall be at a minimum as heavy duty as the manufacturer's loader normally furnished bucket.
X			3.	Any additional valves, hydraulics, hoses, etc. will be furnished by awarded bidder.
X	1000		4.	The unit for the loader bucket shall be as recommended by the manufacturer.

NOTES/COMMENTS:

## D. GRAPPLE FORK ATTACHMENT

YES	NO	NO & PROVIDE ALTERNATIVE			
X			1.	Grapple fork to GrabTec specified in Section VI, S	"GA" series or equivalent to be mounted to bucket as Subsection H-LOADER.
X			2.	Grapple fork is to be inst	alled on the bucket.
X	5		3.	Any costs incurred, inclu nounted to the specified pidder.	ding any transportation costs, to have the grapple for bucket shall be the responsibility of the awarded
X			4.	Grapple assembly to be	e of a Quick Attach Style:
X				<ol> <li>Install weight of (1,200) pounds.</li> </ol>	grapple assembly to be minimum twelve hundred
~				b. Hydraulic cylind	ler bore to be a minimum three inches (3").
X				<ul> <li>Hydraulic hoses attachments sha Valve)</li> </ul>	s of adequate length with couplers for accessory all be coupled to the loader's auxiliary circuit (Third
X				I. Fork teeth mate	rial to be minimum one inch (1") thick.
X				A minimum of fi	ve (5) replaceable Fork teeth per assembly.
X				Clamping force (10,000) pounds hundred fifty (3,	of fork assembly to be approximately ten thousand s rated with cylinder pressure of three thousand seven 750) PSI.
X				<ol> <li>Opening of forks approximately e</li> </ol>	s measured from ground level to tip of teeth to be sighty-six inches (86°).
X			5.		valve hoses, excluding the auxiliary third valve, etc. to
X			6.	Attachment shall carry a nanufacturer or by the av	one (1) year warranty to be provided by the fork warded bidder.

NOTES/COMMENTS:

## E. WHEEL LOADER SCALE SYSTEM

YES	NO	NO & PROVIDE ALTERNATIVE		
X			1.	Scale system to be Loup Electronics Weighlog 8000T or equivalent and shal be installed and calibrated on loader.
X			2.	Optional in-cab printer.
X	_		3.	Hydraulic pressure sensors.
V			4.	Reference and direction sensor.





## WHEEL LOADER

4380



Photos may include optional equipment.

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**OPERATING WEIGHT** 40,532 – 41,932 lb 18385 – 19020 kg

KOMATSU

**BUCKET CAPACITY** 3.5 – 4.3 yd<sup>3</sup> 2.7 – 3.3 m<sup>3</sup>

# WALK-AROUND



**NET HORSEPOWER** 191 HP @ 2100 rpm 143 kW @ 2100 rpm **OPERATING WEIGHT** 

**40,532 – 41,932 lb** 18385 – 19020 kg Photos may include optional equipment.

**BUCKET CAPACITY** 3.5 – 4.3 yd<sup>3</sup> 2.7 – 3.3 m<sup>3</sup>



## PERFORMANCE, DURABILITY AND FUEL ECONOMY

Large capacity torque converter with lock-up:

- Quick acceleration
- Lock-up in 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> gear

Komatsu SmartLoader Logic helps reduce fuel consumption with no decrease in production.



A powerful Komatsu SAA6D107E-3 engine provides a net output of 143 kW 191 HP with up to 6% improved fuel consumption. This engine is EPA Tier 4 emissions certified.

Variable Geometry Turbocharger (VGT) is hydraulically actuated to provide optimum air flow under all speed and load conditions.

Komatsu Diesel Particulate Filter (KDPF) and Selective Catalytic Reduction (SCR) systems reduce particulate matter and NOx while providing automatic regeneration that does not interfere with daily operation.

#### Fluid neutral or better

Combined fuel and DEF consumption is less than the WA380-7 fuel consumption.

#### Hydraulically driven cooling fan

Auto-reversing fan is programmable and swings out for easy access to the wider core coolers.

Remote boom and bucket positioners allow the operator to set upper and lower boom kick-outs, as well as the bucket level from inside the cab.

## Variable displacement piston pumps with Closed-center Load Sensing System (CLSS) provide quick

hydraulic response and smooth operation to maximize productivity.

#### Rearview monitoring system (standard)

#### **Enhanced working environment:**

- High capacity air suspension seat, heated
- Seat mounted Electronic Pilot Controls (EPC) controls with F-N-R switch
- (2) 12V power outlets

New-style plastic front fenders add durability.

**Rear full fenders (standard)** are made of durable plastic and swing open for easy access to maintenance points.

#### 7" LCD color monitor panel

Easy-to-read monitor with "ecology guidance" enables fuel efficient operation. Monitor panel onboard diagnostics remove the need for laptops during service.

Komatsu auto idle shutdown helps reduce idle time and operating costs.

#### **Engine air filter**

Externally mounted on the rear LH fender for easier access and maintenance.

The **KOMTRAX**<sup>®</sup> telematics system is standard on Komatsu equipment with no subscription fees throughout the life of the machine. Using wireless technology, **KOMTRAX**<sup>®</sup> transmits valuable information such as location, utilization, and maintenance records to a PC or smartphone app. Custom machine reports are provided for identifying machine efficiency and operating trends. **KOMTRAX**<sup>®</sup> also provides advanced machine troubleshooting capabilities by continuously monitoring machine health.

**Operator identification system** tracks machine operation for up to 100 operators.

# **PERFORMANCE FEATURES**

### KOMATSU NEW ENGINE TECHNOLOGIES

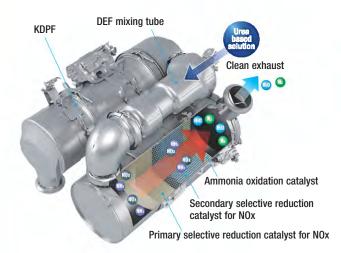
#### **New Tier 4 Final Engine**

The Komatsu SAA6D107E-3 engine is EPA Tier 4 Final emissions certified, reduces fuel consumption, and provides exceptional performance. Based on Komatsu proprietary technologies developed over many years, this new diesel engine reduces nitrogen oxides (NOx) by more than 80% compared to Tier 4 interim levels.

#### **Technologies Applied to New Engine**

#### Heavy-duty after treatment system

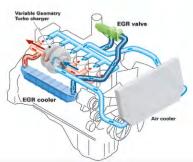
This new system combines a Komatsu Diesel Particulate Filter (KDPF) and Selective Catalytic Reduction (SCR). The SCR NOx reduction system injects the precise amount of Diesel Exhaust Fluid (DEF) to break down NOx into non-toxic water vapor ( $H_2O$ ) and nitrogen gas ( $N_2$ ).



## Heavy-duty cooled Exhaust Gas Recirculation (EGR) system

The system recirculates a portion of exhaust gas into the air intake and lowers combustion temperatures, thereby

reducing NOx emissions. EGR gas flow is lower for Tier 4 Final with the addition of SCR technology. The system dramatically reduces NOx, while helping cut fuel consumption below Tier 4 Interim levels.



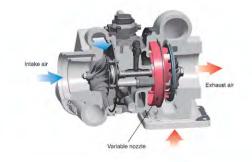


## **Advanced Electronic Control System**

An improved electronic control system more effectively manages engine parameters such as airflow rate, EGR gas flow rate, fuel injection parameters, and after treatment function. The control system also provides enhanced diagnostics through the monitor panel. Additionally, managing information via KOMTRAX helps customers track required maintenance.

## Variable Geometry Turbocharger (VGT) system

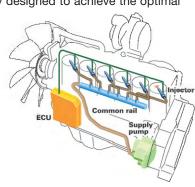
The VGT features proven Komatsu-designed hydraulic technology for robust and accurate control under all speed and load conditions for optimal engine performance. The VGT also provides precise exhaust temperature control for efficient KDPF regeneration.



# Heavy-duty High-Pressure Common Rail (HPCR) fuel injection system

The system is specifically designed to achieve the optimal

injection of fuel for nearcomplete combustion, which helps reduce PM emissions.



#### Komatsu SmartLoader Logic

The WA380-8 features Komatsu SmartLoader Logic, which controls engine torque to match machine demands. For example, engine torque needs are higher for digging in V-shape loading, but lower when driving with an empty bucket. This system optimizes the engine torque for all applications to minimize fuel consumption. Komatsu SmartLoader Logic functions automatically and doesn't interfere with operation, saving fuel without decreasing production.

#### Large-capacity Torque Converter

The Komatsu-designed power train features a large capacity torque converter for optimum efficiency. The WA380-8 has greater productivity in V-shape loading applications because the increased tractive effort does not require full throttle. The large capacity torque converter allows the loader to up-shift gears faster for improved acceleration and hill climbing ability. The WA380-8 achieves high gear ranges and maintains high travel speed when working in load-and-carry applications. In most applications, production is increased and fuel consumption is reduced, resulting in improved fuel efficiency.

#### **Enhanced Lock-up**

The Komatsu designed torque converter with lock-up is standard on the WA380-8. The lock-up function activates in 2nd, 3rd and 4th gears. The lock-up torque converter is effective for both load and carry applications and V-shape loading in lower gears. Komatsu SmartLoader Logic reduces the clutch engagement shock of lock-up by controlling engine torque. The lock-up torque converter, combined with Komatsu SmartLoader Logic results in low fuel consumption and high travel speeds in load and carry, and even some V-cycle loading applications.

#### **Dual-mode Engine Power Select System**

This wheel loader offers two selectable operating modes — Economy (E) and Power (P).

- E Mode: This mode provides maximum fuel efficiency for general loading.
- P Mode: This mode provides maximum power output for harddigging operation or hill climbing.



Dual mode engine power selection switch
 Transmission shift mode selector switch
 Torque converter lock-up switch

#### Automatic Transmission with Mode Select System

This operator-controlled system allows the operator to select manual shifting or two levels of automatic shifting (low, and high). Auto L mode is for fuel-saving operation with the gear-shift timing set at lower speeds than Auto H mode.

#### **CLSS Variable Displacement Piston Pump**

The Closed-Center Load Sensing System (CLSS), with variable displacement piston pump, delivers precise hydraulic flow just as the operator demands. This prevents wasted hydraulic flow, which minimizes loss and contributes to better fuel efficiency.

#### Komatsu Auto Idle Shutdown

In order to reduce unwanted idle time, Komatsu offers Komatsu auto idle shutdown. This function will shut the engine off and apply the parking brake and hydraulic lock after a preset idle time limit. This time limit can be set by the operator or service technician and may range from three to 60 minutes.



# **OPERATOR ENVIRONMENT**



## New Operator Seat with Electronic Pilot Control (EPC) Levers

A new standard, heated, air-suspension seat provides

enhanced support on rough roads and dampens machine vibrations, providing a more comfortable ride for the operator. An EPC-lever console is built into and moves with the seat. The angle of the armrest is fully adjustable for optimum operator comfort. A secondary F-N-R switch is incorporated in to work equipment lever configurations.

### Tiltable / Telescopic Steering Wheel

The operator can tilt and telescope the steering wheel to allow maximum comfort and control. The two-spoke steering wheel allows maximum visibility of the monitor panel and the forward work environment.





## Low Noise Design

Operator's ear noise level : 72 dB(A) Dynamic noise level (outside): 108 dB(A)

The large ROPS/FOPS cab is mounted with Komatsu's unique viscous mounts. The low-noise engine, hydraulically-driven fan, and hydraulic pumps are mounted with



rubber cushions, and the cab sealing is improved to provide a quiet, low-vibration, dustproof and comfortable operating environment.

# Mono-lever with Integrated 3rd Spool Control (Optional)

The mono-lever allows the operator to control work equipment and the optional 3rd spool for the thumb increases ease of operation. The 3rd spool valve operates in either continuous or proportional flow modes. The mono-lever also includes a F-N-R switch.



#### **Rear View Monitoring System (standard)**

The dedicated full-color monitor on the right side of the cab provides the operator with a rear view from the machine. This monitor can be always on or only on when the loader shifts into reverse. Guidelines provide the operator with visual cues for the width of the loader.



#### Auxiliary Input (MP3 Jack) 12 V Outlets

An Aux input for audio devices is standard as well as two 12 volt outlets. These are all located on the front of the right-hand console.



#### **Engine Shutdown Secondary Switch**

The engine stop switch enables machine shutdown when accessing the key switch is not possible.





# **WORKING ENVIRONMENT**



#### **Easy Entry and Exit**

The WA380-8 has an inclined ladder with wide steps and hand holds to ease entry and exit from the cab.

## **Remote Bucket & Boom Positioner**

The operator can set the bucket angle and remote boom positioner from the cab. Both upper and lower boom kickouts are adjustable in the cab with the push of a button. The bucket positioner can store three horizontal settings, allowing the operator to easily change attachments without resetting the bucket level.



#### **Automatic Kick-down**

The WA380-8 has the ability to automatically downshift to F1, eliminating the need for the operator to manually downshift when entering the pile. This can be activated through the monitor.



### **Electronically Controlled Suspension System**

The electronically controlled suspension system, or ride control system, uses an accumulator to minimize boom arm shock, giving the operator a much smoother ride. This reduces operator fatigue and material spillage during load and carry operations. The electronically controlled suspension system is speed sensitive, meaning the boom won't move during low speed digging. This feature is standard on the WA380-8.

# Mono Lever With Integrated 3rd Spool Control (option)

The mono lever option has been designed for improved ergonomics and comfort. When equipped with the optional 3rd spool valve, it allows the operator to control the 3rd spool with the thumb. The 3rd spool valve can be operated in either continuous or proportional flow modes. The mono lever also includes a F-N-R switch.

#### High Resolution 7-inch Color LCD Monitor

The 7-inch color TFT-LCD monitor can display maintenance information, operational records, ecology-guidance records and other machine data. The switch panel is used to select screens and adjust air conditioner and environmental controls.

1 LCD unit	8 Engine coolant temperature gauge		
2 LED unit	9 Hydraulic oil temperature gauge		
3 Engine tachometer	10 Torque converter oil temperature gauge		
4 Speedometer	1 Fuel gauge		
5 Ecology gauge	12 Message pilot lamp		
6 Air conditioner display	13 Pilot lamps		
7 Shift indicator	14 DEF level gauge		
Switch panel			

#### Visual user menu

Pressing the menu button on the switch panel accesses the user-menu screen. The menus are grouped by function, with easy-to-understand, intuitive icons for easier machine operation.





#### **Operator identification function**

An operator identification (ID) code can be set for each operator, and used to manage operation information of individual machines through KOMTRAX. Data sent from KOMTRAX can be used to

analyze operation status by operator job, as well as by machine.



## Monitor Panel with troubleshooting function minimizes downtime

Various meters, gauges and warning functions are centrally arranged on the monitor panel. The monitor simplifies start-up inspection and warns the operator with a lamp and buzzer if any abnormalities occur. Warnings are indicated in four levels, which the operator must acknowledge and clear.

Replacement times for oil and filters are also indicated.



# **MAINTENANCE FEATURES**



#### Side-opening Gull-wing Engine Doors

The large, gull-wing-type engine doors require minimal effort to open and close, thanks to gas assisted struts. The doors make access and daily maintenance easy. Large

steps on each side of the frame also enhance accessibility.



# Swing-Out Type Cooling Fan and Wide Core Radiator

The cooling fan swings out for easier cleaning. The coolers feature wide-spaced cooling fins to reduce clogging.



#### **Auto Reversing Fan**

The engine cooling fan is hydraulically driven. It can be set to reverse automatically during operation. Fan reverse mode and timing can be controlled through the monitor.

Machine Set	ting and Information	
🛞 Manua	I Fan Reverse Mode	Reverse
Aut om	atic Fan Reverse Mode	Mode A
👷 Semi-a	auto Digging Mode Selection	Loose
Bucket	t Level Position Selection	A
C-1 Auto I	Kick Down Setting	ON

## **DEF Tank**

The DEF tank is easily accessed behind the RH side ladder. An external sight gauge helps prevent overflow and spillage while refilling.



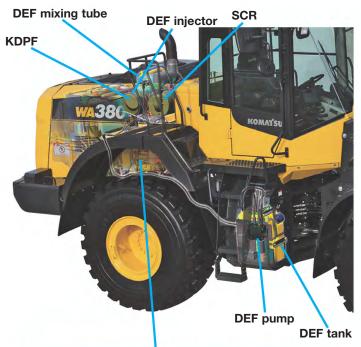
## **Battery Disconnect Switch**

The battery disconnect switch is located on the right side of the machine. This can be used to disconnect power when performing service work on the machine.



## **Engine Compartment**

The WA380-8 engine compartment is designed for easy serviceability. Placement of maintenance items, such as filters, dipsticks, and oil-fill locations are laid out for easy-toreach ground level access.





Fuel filter Engine oil dipstick

Engine oil fill

## **Rear Full Fenders (Standard)**

Full rear fenders are standard on the WA380-8. The plastic rear fenders open outward, keeping the force required to open them low, even when covered with mud or snow. The

fenders swing out of the way to give technicians easy access to the engine compartment. Mud flaps are also included on the fenders for additional machine protection.



## Cab Air Filter

The inside and outside air filters can be replaced easily without the need for tools. The outside filter is located behind

a lockable door for security.





Inside air filter

Outside air filter

## LED Taillights

LED brake lights and reverse lights provide long bulb life, and excellent visibility.



#### **Engine Air Cleaner**

The air cleaner is located on the left-side platform for easy access.



#### **Maintenance Information**

#### "Maintenance time caution lamp" display

When the time before required maintenance dips below 30 hours\*, the maintenance-time monitor appears. Pressing the menu switch displays the maintenance screen.

\* : The setting can be changed within the range between 10 and 200 hours.





#### Supports DEF level and refill timing

The DEF level gauge is displayed continuously on the monitor panel. In addition, when the refill timing is reached, the DEF-low-level icon appears to alert the operator.





DEF low level guidance

## **KOMATSU PARTS & SERVICE SUPPORT**

## **KOMATSU CARE**

## **Program Includes:**

\*The WA380-8 comes standard with complimentary factory scheduled maintenance for the first 3 Years or 2,000 Hours, whichever comes first.

### **Planned Maintenance Intervals at:**

500/1000/1500/2000 hour intervals. (250 hr. initial interval for some products) Complimentary Maintenance Interval includes: Replacement of Oils & Fluid Filters with genuine Komatsu Parts, 50-Point inspection, Komatsu Oil & Wear Analysis Sampling (KOWA) / Travel & Mileage (distance set by distributor; additional charges may apply)

### **Benefits of Using Komatsu CARE**

- Assurance of Proper Maintenance with OEM Parts & Service
- Increased Uptime & Efficiency
- Factory Certified Technicians Performing Work
- Cost of Ownership Savings
- Transferable Upon Resale

### **Complimentary KDPF Exchanges**

The WA380-8 comes standard with 2 Complimentary KDPF Exchange units for the first 5 Years or 9000 hours whichever comes first. The suggested KDPF Exchange unit service intervals are 4500 hours & 9000 hours. End user must have authorized Komatsu distributor perform the removal & installation of the KDPF.

#### **Complimentary SCR Maintenance**

The WA380-8 also includes 2 factory recommended services of the Selective Catalytic Reduction (SCR) Diesel Exhaust Fluid (DEF) system during the first 5 Years or 9000 hours whichever comes first. The service includes factory recommended DEF tank flush & strainer cleaning at the suggested service intervals of 4500 hours & 9000 hours.

engine, transmission, hydraulics, front & rear axle	✓ ✓	✓	1		
engine, transmission, hydraulics, front & rear axle CLEAN AC FRESH AND RECIRC AIR FILTERS	·	•			
	$\checkmark$				
	✓				<ul> <li>Image: A set of the set of the</li></ul>
REPLACE TRANSMISSION OIL FILTER	✓		✓		<ul> <li>Image: A start of the start of</li></ul>
CHECK AND CLEAN AIR CLEANER	✓	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A start of the start of</li></ul>		<ul> <li>Image: A start of the start of</li></ul>
CHECK AND CLEAN FUEL BREATHER ELEMENT	✓	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A second s</li></ul>	<ul> <li>Image: A start of the start of</li></ul>
LUBRICATE REAR AXLE PIVOT PIN	✓	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A second s</li></ul>	<ul> <li>Image: A second s</li></ul>	<ul> <li>Image: A start of the start of</li></ul>
LUBRICATE WORK EQUIPMENT	✓	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A second s</li></ul>	<ul> <li>Image: A start of the start of</li></ul>
CHECK PARKING BRAKE	✓	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A second s</li></ul>	<ul> <li>Image: A set of the set of the</li></ul>	<ul> <li>Image: A start of the start of</li></ul>
CHECK WHEEL HUB BOLTS AND RETIGHTEN AS NECESSARY	<	✓	1	1	<ul> <li>Image: A start of the start of</li></ul>
DRAIN SEDIMENT FROM FUEL TANK		-	Image: A start of the start	<	Image: A start of the start
COMPLETE 50 POINT INSPECTION FORM; LEAVE PINK COPY WITH CUSTOMER OR IN CAB	<	<	1	1	~
RESET MONITOR PANEL MAINTENANCE	<	~	<ul> <li>Image: A start of the start of</li></ul>	<ul> <li>Image: A start of the start of</li></ul>	~
CHANGE ENGINE OIL		-		<ul> <li></li> </ul>	
REPLACE ENGINE OIL FILTER		-	· ·	· ·	
REPLACE AC FRESH & RECIRC AIR FILTERS		-	· ·	-	
REPLACE FUEL PRE-FILTER		· ·	· ·	· ·	
REPLACE FUEL MAIN FILTER			· ·	•	· ·
REPLACE DEF TANK BREATHER			· ·		
CLEAN TRANSMISSION BREATHER ELEMENT			· ·		
REPLACE TRANSMISSION OIL STRAINER			· ·		
LUBRICATE CENTER HINGE PIN			· ·		
LUBRICATE DRIVE SHAFT CENTER SUPPORT					
CHANGE TRANSMISSION OIL					
CHANGE FRONT AND REAR AXLE OIL					<ul> <li>Image: A start of the start of</li></ul>
REPLACE HYDRAULIC TANK BREATHER					1
CLEAN HYDRAULIC OIL STRAINER					
CHANGE HYDRAULIC OIL					v /
REPLACE DEF PUMP FILTER					v V
REPLACE KCCV FILTER					v V
	<u> </u>	-			v V
2 KDPF Exchanges at 4,500 Hrs and 9,000 Hrs.	·			*	
2 SCR System Maintenance Services at 4,500 Hrs. a	and	9000	Hrs.		

### Komatsu CARE® – Extended Coverage

- Extended Coverage can provide peace of mind by protecting customers from unplanned expenses that effect cash flow
- Purchasing extended coverage locks-in the cost of covered parts and labor for the coverage period and helps turn these into fixed costs



## Komatsu Parts Support

- 24/7/365 to fulfill your parts needs
- 9 parts Distribution Centers strategically located across the U.S. and Canada
- Distributor network of more than 300 locations across U.S. and Canada to serve you
- Online part ordering through Komatsu eParts
- Remanufactured components with same-as-new warranties at a significant cost reduction



## Komatsu Oil and Wear Analysis (KOWA)

- KOWA detects fuel dilution, coolant leaks, and measures wear metals
- Proactively maintain your equipment
- Maximize availability and performance
- Can identify potential problems before they lead to major repairs
- Reduce life cycle cost by extending component life

\* Certain exclusions and limitations apply. Refer to the customer certificate for complete program details and eligibility. Komatsu® and Komatsu Care® are registered trademarks of Komatsu Ltd. Copyright 2019 Komatsu America Corp.

## KOMTRAX EQUIPMENT MONITORING



KOMTRAX is

on all Komatsu construction products

standard equipment

- KOMTRAX is Komatsu's remote equipment monitoring and management system
- KOMTRAX continuously monitors and records machine health and operational data
- Information such as fuel consumption, utilization, and a detailed history lowering owning and operating cost



- Know when your machines are running or idling and make decisions that will improve your fleet utilization
- Detailed movement records ensure you know when and where your equipment is moved
- Up to date records allow you to know when maintenance is due and help you plan for future maintenance needs



- KOMTRAX data can be accessed virtually anywhere through your computer, the web or your smart phone
- Automatic alerts keep fleet managers up to date on the latest machine notifications



- Knowledge is power make informed decisions to manage your fleet better
- Knowing your idle time and fuel consumption will help maximize your machine efficiency
- Take control of your equipment
- any time, anywhere







For construction and compact equipment.

For production and mining class machines.

# **SPECIFICATIONS**



#### ENGINE

Model Type	. Komatsu SAA6D107E-3* Water-cooled, 4-cycle
AspirationTu	rbo-charged, after-cooled
Number of cylinders	
Bore	107 mm <b>4.21"</b>
Stroke	
Piston displacement	6.69 ltr <b>408 in<sup>3</sup></b>
Governor	All-speed, electronic
Horsepower:	
SAE J1995	Gross 143 kW <b>192 HP</b>
ISO 9249 / SAE J1349	Net 143 kW <b>191 HP</b>
Rated rpm	2100 rpm
Fan drive method for radiator cooling	
Fuel system	Direct injection
Lubrication system:	
MethodGe	
Filter	Full-flow type
Air cleaner Dry type dust eva	with double elements and cuator, plus dust indicator
*EPA Tior 4 Final omissions cortified	

\*EPA Tier 4 Final emissions certified

## TRANSMISSION

Torque converter......Automatic, full-powershift, counter-shaft type

Travel sp	eed Forward*	Reverse*
1st	6.6 km/h <b>4.1 mph</b>	7.1 km/h <b>4.4 mph</b>
2nd	11.7 km/h <b>7.3 mph</b> (12.4 km/h <b>7.7 mph</b> )	12.4 km/h <b>7.7 mph</b> (13.3 km/h <b>8.3 mph</b> )
3rd	20.9 km/h <b>13.0 mph</b> (22.4 km/h <b>13.9 mph</b> )	22.3 km/h <b>13.9 mph</b> (24.1 km/h <b>15.0 mph</b> )
4th	36.1 km/h <b>22.4 mph</b> (37.5 km/h <b>23.3 mph</b> )	38.6 km/h <b>24.0 mph</b> (37.5 km/h <b>23.3 mph</b> )
*P-mode	Measured with 23.5-25 tires	(): Lock-up clutch ON

## AXLES AND FINAL DRIVES

Drive system	Four-wheel drive
Front	Fixed, semi-floating
Rear	Center-pin support, semi-floating,
	26° total oscillation
Reduction gear	Spiral bevel gear
Differential gear	Conventional type
Final reduction gear	Planetary gear, single reduction



Service brakes	
	wet disc brakes actuate on four wheels
Parking brake	
Emergency brake	Parking brake is commonly used



## **STEERING SYSTEM**

Type ...... Articulated type, fully-hydraulic power steering Minimum turning radius at

the center of outside tire ...... 6320 mm 20' 9"

#### ≯ **HYDRAULIC SYSTEM**

#### Steering system:

Hydraulic pump.... .... Piston type Capacity ..... 137 ltr/min 36.2 U.S. gal/min at rated rpm Relief valve setting ...... 24.5 MPa 250 kgf/cm<sup>2</sup> 3,555 psi Hydraulic cylinders:

Type ..... Double-acting, piston type Number of cylinders ..... 2 

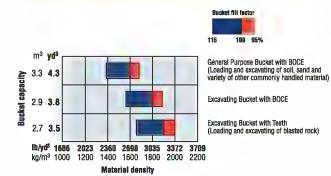
#### Loader control:

Hydraulic pumpPiston pump
Capacity
Relief valve setting 31.4 MPa 320 kgf/cm <sup>2</sup> 4,550 psi
Hydraulic cylinders:
Type
Number of cylinders—bore x stroke:
Boom cylinder
Bucket cylinder1- 150 mm x 535 mm <b>5.9" x 21.1"</b>
Control valve 2-spool type
Control positions:
Boom and float
Bucket
Hydraulic cycle time (rated load in bucket)
Raise
Dump1.8 s
Lower (Empty)

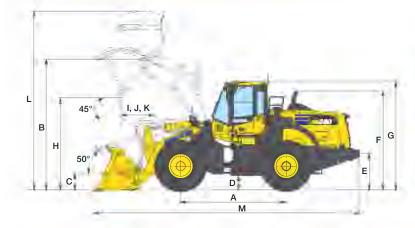
## SERVICE REFILL CAPACITIES

Cooling system	60.6 ltr <b>16.0 U.S. gal</b>
Fuel tank	300 ltr 79.3 U.S. gal
Engine	
Hydraulic system	142 ltr <b>37.5 U.S. gal</b>
Axle (each front and rear)	40 ltr 10.6 U.S. gal
Torque converter and transmission	
DEF tank	

## **BUCKET SELECTION GUIDE**







Tread		2160 mm	7'1"
Width over tires		2780 mm	9'1"
A Wheelbase		3300 mm	10'10"
B Hinge pin height,	Standard Boom	4095 mm	13'5"
max. height	High Lift Boom	4625 mm	15'2"
C Hinge pin height,	Standard Boom	520 mm	1'8"
carry position	High Lift Boom	680 mm	2'3"
D Ground clearance		455 mm	1'6"
E Hitch height		1150 mm	3'9"
F Overall height, top of the stack	(	3100 mm	10'2"
G Overall height, ROPS cab		3390 mm	11'1"

#### Measured with 23.5R25 (L3) tires, ROPS/FOPS cab

	1	Standard	l Boom		High Lift Boom	
	General Purpose Bucket Pin On	General Purpose Bucket Pin On	Excavating Bucket Pin On	Excavating Bucket Pin On	General Purpose Bucket Pin On	
	Bolt-on Cutting Edge	Teeth	Bolt-on Cutting Edge	Teeth	Bolt-on Cutting Edge	
Bucket capacity: heaped	3.3 m <sup>3</sup>	3.1 m <sup>3</sup>	2.9 m <sup>3</sup>	2.7 m <sup>3</sup>	2.9 m <sup>3</sup>	
	4.3 yd <sup>3</sup>	4.0 yd <sup>3</sup>	3.8 yd <sup>3</sup>	3.5 yd <sup>3</sup>	3.8 yd <sup>3</sup>	
struck	2.9 m <sup>3</sup>	2.7 m <sup>3</sup>	2.4 m <sup>3</sup>	2.3 m <sup>3</sup>	2.4 m <sup>3</sup>	
	3.8 yd <sup>3</sup>	3.5 yd <sup>3</sup>	3.1 yd <sup>3</sup>	3.0 yd <sup>3</sup>	3.1 yd <sup>3</sup>	
Bucket width	2905 mm	2920 mm	2905 mm	2920 mm	2905 mm	
	9'6"	9'7"	9'6"	9'7"	9'6"	
Bucket weight	1610 kg	1540 kg	1720 kg	1650 kg	1528 kg	
	3,549 lb	3,395 lb	3,792 lb	3,638 lb	3,369 lb	
H Dumping clearance, max. height	2950 mm	2815 mm	3045 mm	2910 mm	3575 mm	
and 45° dump angle*	9'8"	9'3"	10'0"	9'7"	11'9"	
I Reach at max. height and	1150 mm	1265 mm	1045 mm	1160 mm	1185 mm	
45° dump angle*	3'9"	4'2"	3'5"	3'10"	3'11"	
J Reach at 2130 mm 7' clearance	1735 mm	1845 mm	1630 mm	1745 mm	2205 mm	
and 45° dump angle*	5'8"	6'1"	5'4"	5'9"	7'3"	
K Reach with arm horizontal and	2590 mm	2768 mm	2450 mm	2630 mm	2940 mm	
bucket level*	8'6"	9'1"	8'1"	8'8"	9'8"	
L Operating height (fully raised)	5600 mm	5600 mm	5450 mm	5450 mm	5985 mm	
	18'4"	18'4"	17'11"	17'11"	19'8"	
M Overall length (bucket on ground)	8310 mm	8490 mm	8170 mm	8350 mm	8810 mm	
	27'3"	27'10"	26'10"	27'5"	28'11"	
Loader clearance circle (bucket at carry,	14440 mm	14540 mm	14370 mm	14470 mm	14850 mm	
outside corner of bucket)	47'5"	47'8"	47'2"	47'6"	48'9"	
Digging depth: 0°	60 mm	75 mm	60 mm	75 mm	110 mm	
	2"	3"	2"	3"	4"	
10°	290 mm	335 mm	265 mm	310 mm	320 mm	
	11"	13"	10"	12"	13"	
Static tipping load: straight	15440 kg	15545 kg	15335 kg	15435 kg	12055 kg	
	34,039 lb	34,271 lb	33,808 lb	34,028 lb	26,577 lb	
40° full turn	13440 kg	13540 kg	13325 kg	13430 kg	10407 kg	
	29,630 lb	29,851 lb	29,377 lb	29,608 lb	22,943 lb	
Breakout force	158 kN	170 kN	175 kN	190 kN	183 kN	
	16100 kgf	17300 kgf	17850 kgf	19335 kgf	18661 kgf	
	35,494 lbf	38,140 lbf	39,353 lbf	42,626 lbf	41,140 lbf	
Operating weight	18455 kg	18385 kg	18565 kg	18495 kg	19020 kg	
	40,686 lb	40,532 lb	40,929 lb	40,774 lb	41,932 lb	

\* At the end of tooth or B.O.C.E. (Bolt on cutting edge)

All dimensions, weights, and performance values based on ISO 7131, ISO 14397-1 and ISO 7546 standards. Static tipping load and operating weight shown include lubricant, coolant, full fuel tank, ROPS cab, and operator. Machine stability and operating weight affected by counterweight, tire size, and other attachments.

## **WEIGHT CHANGES**

Tires or attachments	Operating its weight			Tipping load straight		Tipping load full turn	
	kg	lb lb	kg	lb	kg	ll lb	
Remove additional counterweight	-325	-716	-840	-1852	-700	-1543	

## STANDARD EQUIPMENT

- 2-spool valve for boom and bucket control
- Alternator, 90 A. 24 V
- Auto shift transmission with mode select
- svstem
- Automatic hydraulic-driven fan with
- automatic reverse rotation
- Back-up alarm
- Batteries, 140 Ah/12V (2), 1050 CCA
- Battery disconnect
- Boom kick-out, in-cab adjustable
- Bucket positioner, in-cab adjustable, 3 positions
- Color rear view camera and monitor
- Counterweight, standard and additional
- Electronically Controlled Suspension
- System Engine, Komatsu SAA6D107E-3 diesel
- Engine shut-off system, electric EPC fingertip controls with F-N-R switch, two levers
- Equipment Management Monitoring System (EMMS)
- Lights (central warning, brake oil pressure, engine oil pressure, parking brake, cooling fan reverse, KDPF restriction, seat belt caution, Komtrax message)

- Gauges (engine water temperature, ecology, fuel level, hydraulic oil, DEF level temperature, speedometer/tachometer)
- Front fenders
- Fuel pre-filter with water separator
- Horn, electric
- Komatsu SmartLoader Logic
- Komatsu Auto Idle Shutdown
- KOMTRAX<sup>®</sup> Level 5.0
- Lift cylinders and bucket cylinder
- Lights
- Back-up light, LED
- Stop and tail light, LED
- Turn signal lamps, 2 front and 2 rear with
- hazard switch
- Working lights, halogen, 2 front cab mount
- Working lights, halogen, 2 front fender mount
- Working lights, halogen, 2 rear grill mount
- Loader linkage with standard lift arm
- Lock-up torque converter
- Parking brake, electric
- Radiator, wider core
- Radiator mask, swing out
- Rear full fenders
- Rear view mirrors, outside (2) inside (2)
- Rims for 23.5-25 tires

- ROPS/FOPS Cab Level 2
  - 2 x DC12V electrical outlets - Ashtray
- Auto air conditioner
- Cigarette lighter, 24V
- Color LCD/TFT multi-monitor
- Cup holder - Floor mat
- Operator seat, reclining, air suspension type, heated
- Radio, AM/FM with AUX input jack
- Rear defroster, electric
- Seatbelt, 2-point retractable, 76mm 3" width
- Space for lunch box
- Steering wheel, tilt and telescopic
- Sun visor, front window
- Windshield washer and wiper, front with intermittent
- Windshield washer and wiper, rear Service brakes, wet disc type
- Starting motor, 5.5 kW
- Transmission, 4 forward and 4 reverse
- Vandalism protection kit, padlocks for battery box (2)

## **OPTIONAL EQUIPMENT**

- 3-spool valve with lever and piping
- Auxiliary steering (SAE)
- Cutting edge (bolt-on type)
- Engine oil and coolant heater
- Guarding package

- Engine pre-cleaner with extension
- High-lift boom

- Monolever loader control with transmission F-N-R switch
- Various tire options, radial and bias
- Various bucket options

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AD08(2.5M)OTP

02/19 (EV-4)



Note: All comparisons and claims of improved performance made herein are made with respect to the prior Komatsu model unless otherwise specifically stated.

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#### Limited slip differential (F&R)

Lube system